

Pre-Trial Order Exhibit B

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

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SECURITIES AND EXCHANGE COMMISSION,	: 06 Civ. 3150 (GBD)
	: :
Plaintiff,	: ECF Case
v.	: :
	: DEFENDANTS' WITNESS LIST
NELSON J. OBUS, et al.,	: :
Defendants.	: :
-----X	

Defendants hereby submit their witness list for their case-in-chief. Defendants reserve the right to call any of the individuals listed on plaintiff's Witness List, or any sur-rebuttal witnesses as defendants deem appropriate, whether or not plaintiff calls those individuals to testify at trial. Defendants reserve the right to call any witness necessary to authenticate documents to which an authentication objection is made, and to testify about such documents. Defendants reserve the right to offer summary witnesses to summarize voluminous records, and to object to witnesses, evidence, and argument offered by the plaintiff, notwithstanding whether the same, or similar, evidence and argument is offered by defendants by and through these and other witnesses.

Defendants reserve the right to modify and amend this Witness List as necessary based on any amendments plaintiff makes to its Witness List, Exhibit List, and other pre-trial filings, as well as any rulings that the Court may make, including with respect to witnesses, evidence, or *in limine* motions. Defendants reserve the right to call, and refrain from calling any witnesses, in any order, subject to Court rulings on evidentiary motions and as otherwise appropriate.

Defendants intend to serve trial subpoenas on all witnesses not subpoenaed by Plaintiff. For those witnesses who are unavailable under Fed. R. Civ. P. 32 and Fed. R. Evid. 804 at the time of trial, or as otherwise agreed by the parties or directed by the Court, Defendants may offer certain testimony via video deposition testimony.

Securities and Exchange Commission v. Obus, et al.

Defendants' Witness List (March 31, 2014)

Name	Contact	May Call / Will Call	Deposition / Live
Abrams, Dan	10 Westfield Circle White Plains, NY 10583 Phone: 914-725-4599	May Call	Live
Andrien, Maurice	c/o Morgan, Lewis & Bockius, LLP 1111 Pennsylvania Ave., NW Washington, DC 20004-2541 Phone: 202-739-3000	Will Call	Live
Batzer, Max	c/o Gibson, Dunn & Crutcher LLP 200 Park Avenue New York, NY 10166 Phone: 212-351-4000	Will Call	Live
Beloreshki, Tsvetan	c/o Gibson, Dunn & Crutcher LLP 200 Park Avenue New York, NY 10166 Phone: 212-351-4000 c/o Cohen & Gresser LLP 800 Third Avenue New York, NY 10022 Phone: 212-957-7601	Will Call	Live
Black, Peter	c/o Cohen & Gresser LLP 800 Third Avenue New York, NY 10022 Phone: 212-957-7601	Will Call	Live
Brasser, William	c/o Debevoise & Plimpton, LLP 919 Third Avenue New York, NY 10022 Phone: 212-909-6000	Will Call	Live
Bruno, Baron	1 Ironsides Street, #6 Marina Del Ray, CA 90292 Phone: 310-439-2565	Will Call	Live
Corvino, Joseph	c/o Morgan, Lewis & Bockius, LLP 1111 Pennsylvania Ave., NW Washington, DC 20004-2541 Phone: 202-739-3000	May Call	Live
Haggerty, Michael	344 Courtland Avenue Harleysville, PA 19438 Phone: 215-256-1192	May Call	Live
Landes, Joshua	c/o Gibson, Dunn & Crutcher LLP 200 Park Avenue New York, NY 10166 Phone: 212-351-4000	Will Call	Live
Lustbader, Michael	c/o Debevoise & Plimpton, LLP 919 Third Avenue New York, NY 10022 Phone: 212-909-6000	Will Call	Live

Securities and Exchange Commission v. Obus, et al.

Defendants' Witness List (March 31, 2014)

Name	Contact	May Call / Will Call	Deposition / Live
Muldowney, William	c/o Ernest E. Badway Saiber Schlesinger Satz & Goldstein, LLC One Gateway Center, 13th Floor Newark, NJ 07102 Phone: 973-622-3333	May Call	Live
Obus, Nelson	c/o Gibson, Dunn & Crutcher LLP 200 Park Avenue New York, NY 10166 Phone: 212-351-4000	Will Call	Live
Porten, Charles	c/o Gibson, Dunn & Crutcher LLP 200 Park Avenue New York, NY 10166 Phone: 212-351-4000 c/o Cohen & Gresser LLP 800 Third Avenue New York, NY 10022 Phone: 212-957-7601	Will Call	Live
Russell Jr., Daniel	c/o Law Office of Ralph Blasey 2200 Pennsylvania Ave., Suite 600 West Washington, DC 20037 Phone: 202-721-6118	Will Call	Live
Strickland, T. Bradley	c/o Sercarz & Riopelle, LLP 810 Seventh Avenue, Suite 620 New York, NY 10019 Phone: 212-586-4900	Will Call	Live
Weber, Alan	5 Evans Place Armonk, NY 10504 Phone: 212-986-4800	Will Call	Live
Wiles, Joseph	c/o Debevoise & Plimpton, LLP 919 Third Avenue New York, NY 10022 Phone: 212-909-6000	Will Call	Live
Zelkowitz, Stephen	c/o Gibson, Dunn & Crutcher LLP 200 Park Avenue New York, NY 10166 Phone: 212-351-4000	Will Call	Live